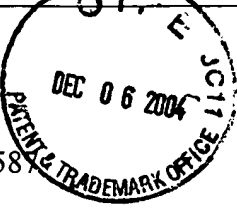
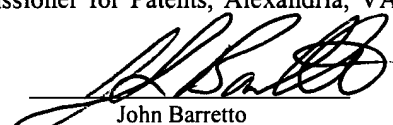


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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  Kennedy, T. Scott Application No: 10/699,587 Filed: October 31, 2003 For: <i>Trays for Growth Plugs, and Methods of Producing Them</i>	 Examiner: Alimenti, S.C. Art Unit: 3644 Attorney Docket No.: RCX-022.01
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Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

<b>Certificate of Mailing (37 CFR 1.8(a))</b>	
I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, Alexandria, VA 22313-1450, on the date set forth below.	
<u>December 3, 2004</u> Date of Signature and Mail Deposit	By:  John Barretto

**INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with the provisions of 37 C.F.R. 1.97 and 1.98, Applicants hereby make of record the patents and publications listed as AA-BH, on the accompanying Form PTO-1449, and other information contained herein, for consideration by the Examiner in connection with the examination of the above-identified patent application. The application was filed after June 30, 2003; therefore, copies of References AA-AY are not provided. Copies of references AZ-BH are enclosed.

12/07/2004 HALI11 00000047 10699587

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**REMARKS**

In accordance with the provisions of 37 C.F.R. 1.97, this statement is being filed:

- ☐ (1) within three (3) months of the **filing date** of a national application other than a continued prosecution application under 37 C.F.R. 1.53(d), or within three (3) months of the **date of entry of the national stage** as set forth in 37 C.F.R. 1.491 in an international application, or before the mailing of the **first Office Action** on the merits, or before the mailing of a **first Office Action** after the filing of a request for continued examination under 37 C.F.R. 1.114; or
- ☒ (2) after the period defined in (1) but before the mailing date of a **final action** or a **notice of allowance** under 37 C.F.R. 1.311, and
- ☐ the requisite Statement is below, **OR**
- ☒ the requisite fee under 37 C.F.R. 1.17(p), namely **\$180.00**, is included herein, or
- ☐ (3) after the mailing date of a **final action** or **notice of allowance** but before the payment of the **issue fee, AND**
- ☐ the requisite Statement is below, **AND**
- ☐ the requisite petition fee under 37 C.F.R. 1.17(p), namely **\$180.00** is included herein.

It is respectfully requested that each of the patents and publications listed on the attached Form PTO-1449, and other information contained herein, be made of record in this application.

### STATEMENT

As required under 37 C.F.R. 1.97(e), Applicant(s), through the undersigned, hereby state either that **[check the appropriate space only if either (2) or (3) is checked on the previous page and the Statement is required]**:

- ☐ 1. Each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application **not more than three months** prior to the filing of the Information Disclosure Statement; or
- ☐ 2. No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to **any individual** designated in 37 C.F.R. 1.56(c) **more than three months** prior to the filing of the Information Disclosure Statement.

Respectfully submitted,



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Date: December 3, 2004  
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Fax No. (617) 832-7000

Form PTO-1449

**INFORMATION DISCLOSURE CITATION****IN AN APPLICATION***(Use several sheets if necessary)*

Docket Number (Optional)

RCX-022.01

Application Number

10/699,587

Applicant

Kennedy, T. Scott

Filing Date

October 31, 2003

Group Art Unit

3644

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
AA	3,664,062	5/23/72	Danielson	47	34.13	9/29/70
AR	4,043,077	8/23/77	Stonehocker	47	66	5/10/76
AT	4,216,622	8/12/80	Hollenbach et al.	43	71	9/19/78
AD	4,510,712	4/16/85	Whitcomb	47	66	5/17/82
AE	4,658,542	4/21/87	Holmberg	47	86	2/24/86
AF	4,854,075	8/8/89	Greiling	47	73	12/3/87
AG	4,982,527	1/8/91	Sprung	47	59	10/25/88
AH	4,999,946	3/19/91	DeGiglio et al.	47	73	9/11/89
AI	5,179,800	1/19/93	Huang	47	73	6/14/91
AJ	5,209,109	5/11/93	Chamoulaud	73	73	
AK	5,274,953	1/4/94	Nicholson	47	87	7/22/92
AL	5,298,041	3/29/94	Huang	47	58	1/22/91
AM	5,481,825	1/9/96	Aoyama	47	18	10/20/94
AN	5,581,936	12/10/96	Belgiorno	47	66	6/27/95
AO	5,664,370	9/9/97	Boudreau et al.	47	87	3/8/96
AP	5,890,318	4/6/99	Hammerie	47	87	2/6/96
AQ	5,934,018	8/10/99	Thomas	47	87	7/30/98
AR	5,957,821	9/28/99	Scharbrodt et al.	493	11	11/12/97
AS	6,085,462	7/11/00	Thomas	47	87	8/24/94
AT	US 6,266,921 B1	7/31/01	Keskilohko	47	86	7/30/99
AU	US 6,339,902 B1	1/22/02	Holmberg	47	87	4/3/00
AV	Des. 324,192	2/25/92	Waltel, Jr.	D11	164	3/16/90
AW	Des. 323,415	1/21/92	Iverson	D34	46	7/17/89
AX	Des. 432,455	10/24/00	Rosenberg	D11	152	10/22/99
AY	US 2002/0066227 A1	6/6/02	Wood-Phillips	47	66.5	12/1/00

**FOREIGN PATENT DOCUMENTS**

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO
AZ	WO 88/03747	6/2/88	PCT			X	

Form PTO-1449

**INFORMATION DISCLOSURE CITATION****IN AN APPLICATION**  
(Use several sheets if necessary)Docket Number (Optional)  
RCX-022.01Application Number  
10/699,587Applicant  
Kennedy, T. ScottFiling Date  
October 31, 2003Group Art Unit  
3644

	BA	WO 93/19586	10/14/93	PCT			X	
	BB	WO 99/16607	4/8/99	PCT			X	
	BC	0 183 653 B1	11/29/85	EPO				X
	BD	0 254 434	6/26/87	EPO			X	
	BE	0 464 954 A2	7/3/91	EPO			X	
	BF	AU-A-83156/87	12/30/86	Australia			X	
	BG	1015638	7/6/00	Netherlands				X
	BH	2 212 376 A	11/18/88	UK				X

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE